HumanE AI Net:

The HumanE AI Network

Grant Agreement Number: 952026
Project Acronym: HumanE AI Net

Project Dates: 2020-09-01 to 2023-08-31
Project Duration: 36 months

D11.2. POPD Requirements 2.

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Date: March 26, 2021.
Approved by: Paul Lukowicz
Type: Report (R)
Status: draft
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Dissemination Level		
CO	Confidential, only for members of the consortium (including the Commission Services)	X

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DOCUMENT INFO

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0.2 Document History

Revision		
Date	**Lead Author(s)**	**Comments**
30.09.2020	GK	Empty template
26.03.2021	GK	Initial draft
26.03.2021	JK	Corrections, suggestions
26.03.2021	GK	Final draft
13.04.2021	GK	Changed Title

TABLE OF CONTENTS

EXECUTIVE SUMMARY

This is an ethics deliverable which has been requested by the EC belatedly after the proposal was already completed and accepted. It is part of an entire new Work Package (WP11) on "Ethics" which the EC added without any adjusting with the Humane AI Net consortium. We had no control over this, neither could we ask for an amendment to at least replace the assigned "main beneficiary" CEU PU (who are network scientists with physics background) with DFKI, the latter having been the main author of this deliverable. A respective official change was not possible since WP11 and the form for the current deliverable were not editable online in the amendment system.

We note that our project already has an Ethics Work Package (WP5) with monthly meetings, deliverables etc. The questions below are partly not applicable to this project, and partly they have been answered already elsewhere. Still, we reply to them to the best of our knowledge.

1. INTRODUCTION

The EC text about the Deliverable says this, by way of an explanation:

"In case the research involves profiling, the beneficiary must provide explanation how the data subjects will be informed of the existence of the profiling, its possible consequences and how their fundamental rights will be safeguarded. This must be included in the ethics deliverable.

Where appropriate, an explicit confirmation that the data used in the project is publicly available and can be freely used for the purposes of the project must be included in the ethics deliverable.

In case of further processing of previously collected personal data, an explicit confirmation that the beneficiary has lawful basis for the data processing and that the appropriate technical and organisational measures are in place to safeguard the rights of the data subjects must be included in the ethics deliverable.

The beneficiary must evaluate the ethics risks related to the data processing activities of the project. This includes also an opinion if data protection impact assessment should be conducted under art.35 General Data Protection Regulation 2016/679. The risk evaluation and the opinion must be included in the ethics deliverable."

Below we react to these points.

2. PROFILING

We apply no profiling in this project.

3. OPEN DATA

We opted for an open data policy, as detailed in the Deliverable D10.4 "Open Data Pilot". In other words, all our research data are publicly available.

4. PERSONAL DATA

We do not process personal data in this project.

5. ETHICS RISKS

As of now, we are not aware of any ethics related risks related to the data processing activities of the project, as our Deliverable D10.3 "Risks" (that lists all known risks) explicitly shows. The fulfillment of the project's research agenda is based in part on micro projects (MPs), which are small proposals submitted and evaluated by a procedure as detailed in the proposal. Depending on the future MPs, the current favorable situation might change in the future. In such a case, the Humane AI Net Ethics Board (see D10.2) must act to cope with the arising new situation.